

Steven M. Sherman

THOMPSON COBURN LLP

Steve Sherman represents corporations in large scale business and regulatory disputes and defends individuals and corporations in the financial services industry in criminal cases and proceedings in federal and state court, the Securities and Exchange Commission, state regulatory agencies, and the NASD.

His commercial litigation experience includes representing a Japanese manufacturer in a nationwide antitrust class action; representing an agricultural manufacturer in large-scale trade secrets litigation; and representing national telecommunications companies in construction disputes.

Sherman also represents clients in securities litigation. These have included the representation of Charter Communications, Inc. in a two-year accounting fraud investigation brought by the U.S. Department of Justice and the SEC. He frequently represents financial advisors in securities investigations brought by the SEC and state regulatory agencies. He also has tried broker-dealer disputes before the NASD.

He also has extensive experience conducting internal investigations. In one of these matters he worked with CPA firm Grant Thornton on behalf of the public service commissions of North Carolina and South Carolina to investigate accounting fraud allegations against Duke Energy. That inquiry resulted in Duke paying a \$25 million fine to regulators. Sherman also frequently counsels businesses in compliance matters, including the creation and monitoring of corporate compliance programs.

Education

- **Washington University School of Law**
J.D. (1996)
Developments Editor, Washington University
Journal of Urban and Contemporary Law
- **University of Iowa**
B.A. (1990)

Employment

- **Thompson Coburn LLP**
Partner (2005-Present)
Associate (1998-2004)
- **United States District Court (Eastern District of Missouri)**
Law Clerk to Hon. George F. Gunn, Jr. (1997-1998)
Law Clerk to Hon. Charles A. Shaw (1997)
Law Clerk to Hon. Catherine D. Perry (1997)



Professional Affiliations

- Missouri Bar
- Illinois Bar

Publications

- Who's Afraid of E-Discovery . . . Not I, *Co-presenter, Bar Association of St. Louis Federal Practice Seminar* (March, 2009)
- Practical E-Discovery, or What's Under the Hood, *Co-presenter, Eighth Circuit Judicial Conference* (August, 2008)
- "Legal Aspects of Autologous and Directed Donation and Other Special Procedures," *Legal Concerns in Blood Banking* (Fall, 1998)

Licensure

- Missouri (1996)
- Illinois (1999)

Civic Involvement

- **Board of Directors, The Soulard School**
President, 2009 - present
Secretary, 2007-2009