



## Helen Kim

Partner

Los Angeles  
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### PRACTICES

- Business Litigation
- Securities and Investment Litigation
- White Collar Defense and Investigations

### EDUCATION

- Yale Law School, J.D., 1987
- The Julliard School, M.M., 1984
- Harvard-Radcliffe Colleges, A.B., cum laude, 1982

### ADMISSIONS

- California
- New York
- California USDC, Central District
- California USDC, Eastern District
- California USDC, Northern District
- California USDC, Southern District
- New York USDC, Eastern District
- New York USDC, Northern District
- New York USDC, Southern District
- US Ct Appeals, 2nd Circuit (Covers CT, NY, VT)
- US Ct Appeals, 9th Circuit (AZ, CA, HI, ID, MT, NV, OR, WA, Guam, M. Isles)

Recognized as one of the most influential women lawyers in Los Angeles by *The Los Angeles Business Journal*, Helen represents public and private companies, their directors, officers and principal shareholders before the courts and in arbitration proceedings.

She serves as the vice co-chair of Thompson Coburn's Business Litigation group.

Helen has successfully defended clients in multiple shareholder derivative suits, nationwide securities and commodities class actions, and related opt-out litigation, and guided companies and their officers through regulatory investigations.

Her clients have included global financial services firms, financial services and health care executives, media companies, and major manufacturers, among others. For these clients, Helen carefully analyzes a dispute, works proactively to find all possible solutions, and tenaciously advocates for her clients through litigation or successful settlement.

Helen is a graduate of Harvard College and the Yale Law School, where she was note editor of the *Yale Law Journal*. She also holds a degree from the Julliard School of Music for classical piano performance.

### Recognitions

- Los Angeles Business Journal
  - 50 Most Influential Women Lawyers in LA, 2017
- 25 Most Influential Women in Securities Law, Law360, 2016
- Listed in Southern California Super Lawyers, 2007, 2009-Present
- League of Women Voters of Los Angeles
  - Civic Leadership Award, 2014
- National Asian Pacific American Bar Association
  - Women's Leadership Award, 2012
- Los Angeles County Bar Association

## EMPLOYMENT

- Thompson Coburn LLP 2013-Present
- Katten Muchin Rosenman LLP Partner, 2008-2012
- Baker & Hostetler LLP Partner, 2004-2008
- Fried, Frank, Harris, Shriver & Jacobson LLP Partner, 1999-2004

## AFFILIATIONS

- American Bar Association, Co-Chair of the section of Litigation's Securities Litigation Committee, 2014-Present, Vice Chair, Commission on Racial and Ethnic Diversity in the Profession, 2014-Present, Former Co-Editor, ABA Litigation Section's Securities Litigation Journal, 2005-2013, Former Co-Chair, Securities Litigation Committee's Subcommittee on Class Actions
- Korean American Coalition, Chair of the Board, 2013-Present
- Los Angeles County Bar Association, Past Assistant Vice President, Women Lawyers of Los Angeles Joint Task Force on the Retention and Promotion of Women in the Profession
- L.A. Lawyers Philharmonic
- Women Lawyers Association of Los Angeles, Past President, 2008-2009, Co-Chair, Litigation Section, 2015-Present
- National Asian Pacific American Bar Association, President, 2007-2008

- Patricia Phillips Outstanding Committee Service Award, 2010
- Selected as one of the Top 100 California Women Attorneys by the Daily Journal, 2008-2010
- Korean American Association of Greater New York
  - Person of the Year Award, 2001

## Publications

- "2015 Roundtable Series: Securities"; *California Lawyer*, January 2015
- "Meet the Women Rainmakers: Helen Kim"; *Law Practice Today: The Monthly Webzine of the ABA Law Practice Division*, February 2013

## Experience

- **Defense of the former general counsel of the largest commodities broker in the United States in numerous securities class actions and related insurance coverage litigation arising from the failure to disclose a \$400 million account receivable in a bond offering and its initial public offering. Obtained the dismissal of client from two securities class actions. In a third related securities litigation, she reached a settlement, which obtained final court approval.**
- **Defense of the former chief executive officer of one of the world's largest health care companies in securities class action litigation arising from the company's restatement of financials following a corporate acquisition.**
- **Defense of large insurance company in nationwide securities class action arising from the sale of securitized insurance products.**
- **Defense of large insurance company in numerous actions filed by opt-out plaintiffs in California, Florida and Texas.**
- **Defense of brokerage firm arising from issuance of forged stock certificates. Obtained dismissal after motion to dismiss.**
- **Defense of global financial services firm in securities class action litigation arising from a company's initial public offering.**
- **Represented media company in prosecution of California state securities fraud, breach of fiduciary duty claims against former officers and directors of acquired subsidiary.**
- **Represented a minority shareholder in a control dispute over closely held corporation. Obtained a ruling setting aside another shareholder's attempt to appoint himself sole director of the corporation and also obtained a court order appointing interim directors for the corporation which included their clients.**
- **Defended British metals producer in California state antitrust action. Obtained favorable settlement.**
- **Defended directors and officers of California limited liability company against action for breach of commercial lease based on "veil piercing" theory.**