

insights

TYPES NOT MAPPED YET April 01, 2024 | TTR not mapped yet | David J. Kaufman, Nabil G. Al-Khaled

Journal Publishes SEC Rules Update by David Kaufman, Nabil Al-Khaled

Thompson Coburn partner [David Kaufman](#) and associate [Nabil Al-Khaled](#) authored an article published by *The Journal of Federal Agency Action* on beneficial ownership reporting rules adopted recently by the Securities and Exchange Commission (SEC).

"To provide market participants notice of significant acquisitions or potential changes in control of reporting companies, the SEC requires certain public filings," they wrote. "These Schedule 13D or Schedule 13G filings are required under Section 13(d) and 13(g) of the Exchange Act, and Regulation 13D-G for holders who beneficially own more than five percent of any class of securities registered under the Exchange Act." They noted that the changes reflect "increased information symmetry" and "better comport with changes in technology and developments in the financial markets, including reduced settling times of equity transactions."

The article was originally published on the Thompson Coburn website [here](#) and was republished with permission. [Click here](#) to read the full article in the *Journal of Federal Agency Action*.

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